

IN THE UNITED STATES PATENT AND TRADEMARK
OFFICE

REQUEST FOR FILING
(RULE 53(b)(1))

FOR DESIGN OR UTILITY APPLICATIONS
(DO NOT USE FOR CIPs)

jc698 U.S. PTO

01/08/02

Rule 53(b)(1) PATENT APPLICATION:

- ☒ Continuation)
☐ Divisional) application under 37 CFR 1.53(b)(1)
of pending prior application of

Inventor(s): Takahashi et al.

Group Art Unit: 2872

Examiner: Shafer

Parent Appn. No.: 09 570,652 Atty. Dkt. P 284144 OI67597N-US-DIV
Series Code: Serial No. New M# Client Ref

Parent Filed: May 12, 2000

This Appn. Filed: January 8, 2002

Title: PRISM OPTICAL ELEMENT IMAGE OBSERVATION APPARATUS, AND IMAGE DISPLAY

Hon. Commissioner of Patents
Washington, DC 20231

Date: January 8, 2002
(Parent Matter No. 265151)

To effect the above-requested filing today:

Attached is a copy (**which must be filed**) of the prior application, including:

- ☒ Abstract
☒ Specification and claims (109 pages) (**must be attached**)
☒ Drawings (**must be attached if originally filed**): 16 sheet(s)/set: ☐ 1 set informal; ☒ Formal of size ☒ A4 ☐ 11"

1A. Always X one box, only:

- (1) ☒ Copy of **Signed** declaration or oath as originally filed in prior application **attached**
(2) ☐ **NO** declaration or fee is enclosed, therefore, this is a filing under Rule 53(f).

2. ☐ This application is hereby filed by less than all of the inventors named in the prior application. Petition is hereby made requesting deletion as inventor(s) of the following who is/are **not** inventor(s) of the invention being claimed in this application (**DELETE THE FOLLOWING INVENTOR(S)**):

- | | |
|----------|----------|
| 1. _____ | 2. _____ |
| 3. _____ | 4. _____ |
| 5. _____ | 6. _____ |
| 7. _____ | 8. _____ |

2.5 THE INVENTOR(S) FOR THIS NEW APPLICATION IS(ARE):

- | | |
|----------|----------|
| 1. _____ | 2. _____ |
| 3. _____ | 4. _____ |
| 5. _____ | 6. _____ |
| 7. _____ | 8. _____ |

3. The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference thereto.

4. ☒ Priority is claimed under 35 U.S.C. 119/365 based on filing in Japan of _____ (country)

	<u>Application No.</u>	<u>Filing Date</u>		<u>Application No.</u>	<u>Filing Date</u>
(1)	9-116724	May 7, 1997	(2)	9-116725	May 7, 1997
(3)	_____	_____	(4)	_____	_____
(5)	_____	_____	(6)	_____	_____

a. ☐ (No.) Certified copy/copies attached.

b. ☒ Certified copy/copies previously filed on December 22, 1998 in _____
 U.S. Application No. 08/867,779, filed on June 5, 1997.
series code ↑ serial no. ↑

c. ☐ Certified copy/copies filed during International stage of PCT/ _____ / _____

4(a). (a) ☐ Domestic priority is claimed from PCT/ _____ / _____, filed _____

(b) ☐ Benefit is claimed of Provisional Application No. 60/ _____, filed _____.

☐ Assignee (optional) _____

☒ Attached is the following number of Assignments (including original and all later successive ones by different assignors): 1 and respective new Cover Sheets. (Do **NOT** file old cover sheets.)

(Assignments in parent **must be refiled** with new Cover Sheets in this continuing application if you want it/them recorded against the continuing application.)

Please return the recorded Assignment to the undersigned.

☒ The power of attorney in the prior application is to Glenn J. Perry, Reg. No. 28458

(Name and Reg. No.)

whose current address is as in item 8 below.

a. ☒ Recognize as associate attorney Henry J. Daley, Reg. No. 42459 whose current address is as in item 8 below.

(Name, Reg. No. and Address)

8. **Address all future communications to Intellectual Property Group of Pillsbury Winthrop LLP, 1600 Tysons Boulevard, McLean, VA 22102**

9. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. 09/ 570,652 filed May 12, 2000

No. 08/ 867,779 filed June 3, 1997

No. / filed _____

No. PCT/ / filed _____, which designated the U.S. and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English

9(a). ☒ See the attached Preliminary Amendment, which amends the first page of the specification to claim benefit of the above listed US application(s)

10. **Small Entity Status** → ☒ is **Not** claimed ☐ is claimed (**pre-filing confirmation required**)

(No.) Small Entity Statement(s) (**not** essential since 9/8/00) were/are:

☐ filed in above prior application

☐ attached.

11. Petition to extend the life of the above prior application to at least the date hereof

(one box) ☒ is being concurrently filed in that prior application (Use Form PAT-111).

(must be) ☐ was previously filed in that prior application (Check length of prior extension).

(X'd) ☐ is not necessary for copendency (**Double check** before X'ing this box).

~~THE FOLLOWING FILING FEE IS BASED ON:~~
-->-->-->CLAIMS AS FILED AND CHANGED BY PRELIMINARY AMENDMENT IN ITEM 14<--<--<--<

NOTE: If box 1A2 is X'd, do not pay fees, but leave lines 15-22 and 27-32 blank.

				Large/Small Entity		Fee Code
15.	Basic Filing Fee			Design Application	\$330/\$165	108/26
16.	Basic Filing Fee			Utility Application	\$740/\$370	101/201
17.	Total Effective Claims	71	minus 20 =	51	x \$18/\$9	+918
18.	Independent Claims	6	minus 3 =	3	x \$84/\$42	+252
19.	If any proper multiple dependent claim (ignore improper) is present,				\$280/\$140	+280
20.					Subtotal =	\$2190
21.	If "petition" box 13 above is X'd, add petition fee.				\$130	+0
21A.	If box 6 above is X'd, add Assignment recording fee				\$ 40	+40
22.	TOTAL FILING FEE ATTACHED =				\$2230	

(carry forward to Item 31)

23. ☐ ATTACHED:
24. ☒ See the attached Preliminary Amendment
25. ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)

26.

**ADDITIONAL FEE CALCULATION FOR
PRELIMINARY AMENDMENT
PER BOXES 24/25**

	Claims remaining after amendment	Highest number previously paid for	Present Extra	Additional Fee	
					Large/Small Entry File Code
27.	Total Effective Claims *71	minus ** 71	= 0 x \$18/\$9	= \$ 0	(103/203)
28.	Independent Claims *40	minus *** 40	= 0 x \$84/\$42	= + 0	(102/202)
29.	If amendment enters proper multiple dependent claim(s) into this application for the				
	<u>first time</u> , add (per application) \$280/\$140			+ 0	(104/204)
			ADDITIONAL FEE	\$ 0	
		plus FEE from item 22 on page 3		+ 2230	
		TOTAL FEE ATTACHED		\$ 2230	

33. "If the entry in this space is less than a entry in the next space, the "Present Extra" result is "0"
34. "If the "Highest number previously paid for" (see item 17 above) is less than 20, write "20" in this space
35. If the "Highest number previously paid for" (see item 18 above) is less than 3, write "3" in this space



Our Deposit Account No. 03-3975

Our Order No.	9523	284144
	C#	M#

CHARGE STATEMENT: Upon the filing of a Declaration pursuant to Rule 60(b) or 60(d), the Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

By Atty: Henry J. Daley Reg. No. 42459

Sig: *Henry J. Daley* Fax: (202) 833-8491
Tel: (202) 775-9832

Atty./Sec HJD/dlh

NOTE No. 1: File this Request in duplicate with 2 postcard receipts (PAT-103) & attachments
NOTE No. 2: Is extension in parent necessary for copendency? DOUBLE CHECK item 11 above.
 If yes, printout Pat-111 and head it in parent.